TF Global Markets (UK) Limited

Reference number: 629628

This firm is authorised for **specific activities and product types. It's important to check the full record for what regulated activities this firm has permission to do.**

⚠ Some activities by this firm may not be protected

This firm is shown on the Register because it is now, or was previously, approved by the FCA (or relevant regulatory body). As a result, you may be able to complain about this firm to the Financial Ombudsman Service. If this firm goes out of business owing you money you may be able to claim compensation from the Financial Services Compensation Scheme (FSCS). However, this is not always the case and these organisations may not cover some of this firm's activities. If you would like to check what is and what isn't covered, you can ask this firm to confirm this to you in writing. There's more information on the **Financial Ombudsman Service's website (https://www.financial-ombudsman.org.uk/consumers/complaints-can-help/)** and the **FSCS's website (https://www.fscs.org.uk/what-we-cover/)** about the kind of complaints and claims they can help with. The final decision on whether or not they will consider any complaint or claim is for the Financial Ombudsman Service or the FSCS.

Who is this firm?

Firm details

Check details about this firm's place of business, contact details, etc.

The 'firm details' displayed on the register have been confirmed as correct.
Firms are required to confirm that the information shown is correct at least annually.

Address

G07 Spaces
New Broad Street House
35 New Broad Street
London
City Of London
EC2M 1NH
UNITED KINGDOM

Phone

+4402038295421

Email

compliance@thinkmarkets.com

Website

www.thinkmarkets.com/uk

Firm reference number

629628

Registered company number

09042646

Firm status

Check this firm's status and any additional regulatory information.

Status

Authorised

Since 23/01/2015

This firm is authorised for **specific activities and product types. It's important to check the full record for what regulated activities this firm has permission to do.**

Type

Regulated

Trading names

This firm currently trades under 7 trading names.

Current names

Showing 7 results out of 7

Name	Type of name (Registered, Trading)	Effective from
Liquidity.net	**Trading**	**12 Aug 2020**
ThinkTrader	**Trading**	**05 Jun 2017**
Trade Interceptor	**Trading**	**05 Jun 2017**
ThinkMarkets	**Trading**	**11 Jun 2015**
ThinkPrime	**Trading**	**18 Mar 2015**
ThinkForex	**Trading**	**02 Feb 2015**
TF Global Markets (UK) Limited	**Registered**	**23 Jan 2015**

Previous names

Showing 1 result out of 1

Name	Type of name (Registered, Trading)	Effective from	Effective to
GoTrade UK	**Trading**	**03 Oct 2017**	**19 Apr 2018**

How are customers protected?

Protections and support

Understand the protections you have when dealing with this firm, and how to make a complaint.

Customer protections and the Register

The Register tells you the activities the FCA has given this firm permission to carry out. The Register can only give you general information about the help from other organisations if something goes wrong when dealing with this firm. The Register does not detail the activities that the firm undertakes that do not require FCA approval.

The Financial Ombudsman Service and the Financial Services Compensation Scheme (FSCS) are the main organisations who may be able to help if something goes wrong when dealing with this firm. The Financial Ombudsman Service may be able to resolve your complaint against this firm if the firm fails to deal with it properly. The FSCS may be able to provide compensation if this firm goes out of business owing you money.

The protection provided by the Financial Ombudsman Service and FSCS depends on the activity a firm is carrying out. There are also other conditions, such as needing to bring a complaint or claim within a set time period, that affect any protection you may have. The final decision to consider any specific complaint or claim is determined by the Financial Ombudsman Service or the FSCS. You should always check which activities are covered by these organisations before doing business with this firm.

The Financial Ombudsman Service may be able to consider a complaint about this firm

If this firm fails to deal with your complaint properly, you can ask the Financial Ombudsman Service to help. But it may not be able to consider complaints about all the firm's activities. The Financial Ombudsman Service has the final decision as to whether or not it will consider a specific complaint.

You can complain to the Financial Ombudsman Service about most regulated activities and some unregulated activities. The **Financial Ombudsman Service's website (https://www.financial-ombudsman.org.uk/)** has information about the type of activities you can complain about.

The Financial Services Compensation Scheme may be able to consider a claim against this firm if it fails

If this firm goes out of business owing you money, you can ask the Financial Services Compensation Scheme (FSCS) to help. FSCS protection only applies to claims in connection with some regulated activities. The FSCS has the final decision as to whether or not it will consider a specific claim. The **FSCS website (https://www.fscs.org.uk/)** gives you information on what it protects.

If you have a complaint about a firm

If you are concerned you've been scammed: consumers in England, Wales or Northern Ireland should immediately contact **the FCA (https://fca.org.uk/contact)** and **Action Fraud (https://www.actionfraud.police.uk/)**; consumers in Scotland should immediately contact **the FCA (https://fca.org.uk/contact)** and **Police Scotland. (https://www.scotland.police.uk)**

Complain to the firm

M Adil Siddiqui

G07
New Broad Street House
35 New Broad Street
London
City Of London
EC2M 1NH
UNITED KINGDOM

+4402038295421

compliance@thinkmarkets.com

www.thinkmarkets.com/uk

Report to the FCA

If you have concerns about a firm listed on the Register, **contact the FCA directly. (https://www.fca.org.uk/contact)**

If you suspect you have been contacted by an unauthorised firm or individual carrying out an FCA-regulated activity, report it to us using **this form. (https://www.fca.org.uk/report-scam-unauthorised-firm-individual)**

Help using the Register

If you have any concerns or difficulties using the Register you can contact the FCA and we will talk you through the record and answer any queries you may have.

What can this firm do in the UK?

Restrictions

Check the requirements placed on this firm. Requirements are restrictions governing the regulated activities that this firm can do.

Client Money

This firm can hold and can control client money

Specific requirements may change this firm's ability to hold or control client money – see below for details.

Financial promotions

Check if this firm has permission to approve financial promotions and what these permissions are.

What this information means

The law restricts who can promote financial services and products ('communicate financial promotions'). Firms or individuals ('persons') have to be authorised to do this, unless an authorised person has already approved the financial promotion or an exemption applies. This firm is an authorised person. This means it may be able to approve financial promotions for unauthorised persons in some circumstances. This section sets out the types of financial promotions this firm can approve.

Unless there is a **requirement** on this firm that says otherwise, then:

This firm can approve its own financial promotions as well as those of members of its wider group and, in certain circumstances, those of its appointed representatives.

If you have concerns about a financial promotion or would like to check that an authorised person has approved a financial promotion, please contact the authorised person who communicated or approved it.
If the financial promotion came from an unauthorised person, then the promotion may show the name of the authorised person who approved it or that firm's reference number (FRN).

Please note The Financial Ombudsman Service is unlikely to consider a complaint about the approval of a financial promotion. The Financial Services Compensation Scheme is unlikely to be able to consider claims that are solely

about the communication or approval of financial promotions.

If you're worried or have a complaint about the firm, please check 'How are customers protected?' section.

Activities and services

Learn about the regulated activities that this firm has FCA and/or PRA permission to provide and other information related to specific non-regulated activities and services that may impact your business with a firm.

What to do with this information

These regulated activities are grouped into categories. You should check the specific activities within these categories relate to the business you want to do with the firm, not just the categories.

This firm is shown on the Register because it is now, or was previously, approved by the FCA (or relevant regulatory body). As a result, you may be able to complain about this firm to the Financial Ombudsman Service. If this firm goes out of business owing you money you may be able to claim compensation from the Financial Services Compensation Scheme (FSCS). However, this is not always the case and these organisations may not cover some of this firm's activities. If you would like to check what is and what isn't covered, you can ask this firm to confirm this to you in writing. There's more information on the Financial Ombudsman Service's website and the FSCS's website about the kind of complaints and claims they can help with. The final decision on whether or not they will consider any complaint or claim is for the Financial Ombudsman Service or the FSCS.

Once you have checked this page, you should contact the firm via their main contact details and ask them to confirm their permitted regulated activities.

If you don't understand whether the permissions cover the business you want to do, you should **contact the FCA. (https://www.fca.org.uk/contact)**

Investments

Arranging (bringing about) deals in investments

LIMITATIONS

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and, a rolling spot forex contract and a binary bet)
Future (excluding a commodity future and a rolling spot forex contract)
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rolling spot forex contract
Share
Spread Bet

Limitation

Rights/interests - cont ltd to cont based inv : Investment activity in "rights to or interests in investments (contractually based)" is limited to investment types granted for this activity.

Arranging safeguarding and administration of assets

LIMITATIONS

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and, a rolling spot forex contract and a binary bet)
Future (excluding a commodity future and a rolling spot forex contract)
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rolling spot forex contract

Share
Spread Bet

Limitation

Rights/interests - cont ltd to cont based inv : Investment activity in "rights to or interests in investments (contractually based)" is limited to investment types granted for this activity.

Dealing in investments as agent

LIMITATIONS

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and, a rolling spot forex contract and a binary bet)
Future (excluding a commodity future and a rolling spot forex contract)
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rolling spot forex contract
Share
Spread Bet

Limitation

Rights/interests - cont ltd to cont based inv : Investment activity in "rights to or interests in investments (contractually based)" is limited to investment types granted for this activity.

Dealing in investments as principal

LIMITATIONS

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and, a rolling spot forex contract and a binary bet)
Future (excluding a commodity future and a rolling spot forex contract)
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rolling spot forex contract
Share
Spread Bet

Limitation

Limited Licence - matched principal broker : Unable to: (i) hold financial instruments for own account unless it meets the 'matched principal exemption conditions' as defined in the FSA's Glossary of defined expressions used in the FSA's Handbook and (ii) underwrite MiFID financial instruments and/or place MiFID financial instruments on a firm commitment basis
Rights/interests - cont ltd to cont based inv : Investment activity in "rights to or interests in investments (contractually based)" is limited to investment types granted for this activity.

Making arrangements with a view to transactions in investments

LIMITATIONS

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and, a rolling spot forex contract and a binary bet)
Future (excluding a commodity future and a rolling spot forex contract)
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rolling spot forex contract
Share
Spread Bet

Limitation

Rights/interests - cont ltd to cont based inv : Investment activity in "rights to or interests in investments (contractually based)" is limited to investment types granted for this activity.

Other activities

Agreeing to carry on a regulated activity

LIMITATIONS

Limitation

Limited to carry on regulated activities. : The firm can only agree to carry on the regulated activities specified in this Notice.

Who is involved with activities at this firm?

Individuals

Individuals currently and previously involved in regulated activities at this firm.

You should check the details of any individual that you want to carry out regulated activities on your behalf, especially their current roles and any disciplinary or regulatory action on their record.

Current

Showing 4 results out of 4

Name	Individual reference number	Status	Role
Nauman Anees	**NXA01622**	**Approved by regulator**	**SMF1 Chief Executive** **SMF3 Executive Director**
Mohammed Adil Siddiqui	**MAS01502**	**Approved by regulator**	**SMF3 Executive Director** **SMF16 Compliance Oversight** **SMF17 Money Laundering Reporting Officer (MLRO)**
Faizan Anees	**FXA01428**	**Approved by regulator** **Certified / assessed by firm**	**[FCA CF] Client dealing** **SMF3 Executive Director**
Krupa Patel	**KXP00485**	**Certified / assessed by firm**	**[FCA CF] CASS oversight function**

Previous

Showing 10 results out of 17

Name	Individual reference number	Role
Harshad Kale	**HXK01539**	**CF1 Director** **SMF3 Executive Director**
Mohammed Adil Siddiqui	**MAS01502**	**CF10 Compliance Oversight** **CF10a CASS Oversight function** **CF11 Money Laundering Reporting**

Name	Individual reference number	Role
Nauman Anees	**NXA01622**	**CF1 Director**
		CF3 Chief Executive
		CF30 Customer
Faizan Anees	**FXA01428**	**CF1 Director**
		CF30 Customer
Nicholas James Cooke	**NJC01247**	**CF1 Director**
		CF30 Customer
Paul Derek Sawyer	**PDS01117**	**CF10 Compliance Oversight**
		CF11 Money Laundering Reporting
Michael Herron	**MPH01142**	**CF2 Non Executive Director**
Mohamed Amin Adat	**MAA01437**	**[FCA CF] CASS oversight function**
		CF10a CASS Oversight function
		SMF3 Executive Director
Dragostin Kozhuharov	**DXK01815**	**CF30 Customer**
Yan Li	**YXL01131**	**CF1 Director**
		CF10 Compliance Oversight
		CF11 Money Laundering Reporting

What can this firm do in the European Economic Area?

Passport out

Regulated activities this firm can offer in other EEA countries.

A 'passport' enables this authorised firm to provide financial products or services, set up a base, or carry on its permitted activities in Gibraltar.

Select country

GIBRALTAR

Country
GIBRALTAR

Directive
Markets in Financial Instruments Directive

Passport type
Service

A(1) Reception and transmission of orders in relation to one or more financial instruments
Investment Types

A(2) Execution of orders on behalf of clients
Investment Types

A(3) Dealing on own account
Investment Types

B(4) Foreign exchange services where these are connected to the provision of investment services
Investment Types

B(7) Investment services / activities / ancillary services of the type included in Sec. A/ B of Annex 1 related to the underlying of derivatives included under Sec. C - 5, 6, 7 and 10 - where connected to provision of investment or ancillary services
Investment Types

Who is this firm connected to?

Appointed representatives and agents

This firm is/was responsible for regulated activities of the firm(s) listed below during the period it (they) acted on its behalf (between the dates shown below as Effective from and Effective to).

The table(s) below lists the Appointed Representatives and Payment services / Electronic money agents currently or previously connected with this firm. You can also see if they have/had Tied agent or EEA Tied agent status. In each case, the principal is / was responsible for the regulated activities carried out on its behalf by its appointed representatives / agents during the dates shown below as Effective from and Effective to (when the relationship ended). An agent or Appointed Representative may act of behalf of more than one principal firm.

Previous

Filter

Showing 1 result out of 1

Name	Insurance Distribution	Tied Agent	EEA Tied Agent	AR Relationship	Firm reference number	Effective from	Effective to
Liquidity Holdings Limited	**No**	**No**	**No**	**Introducer**	**976035**	**19 May 2022**	**07 Dec 2023**

Regulators

Current and former regulators of this firm.

This table shows the former and current regulators of this firm, in the UK and in other European Economic Area (EEA) countries.

Showing 1 result out of 1

Regulator	Effective from	Effective to
Financial Conduct Authority	**23 Jan 2015**	